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Docket No.: 176/60921 (2-11150-912)

PATENT

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*#2*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lukas Novotny and Thomas G. Brown

Serial No. : 10/068,449

Cnfrm. No. : To Be Assigned

Filed : February 7, 2002

For : A SYSTEM AND METHOD FOR HIGH  
RESOLUTION OPTICAL IMAGING, DATA  
STORAGE, LITHOGRAPHY, AND  
INSPECTION

Examiner:  
To Be Assigned

Art Unit:  
To Be Assigned

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97-1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box:

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the  
United States Patent and Trademark Office, the enclosed references listed on the attached  
PTO-1449 form.

Respectfully submitted,

Date: March 18, 2002

*Gunnar G. Leinberg*  
Gunnar G. Leinberg  
Registration No. 35,584

NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603  
Telephone: (585) 263-1014  
Facsimile: (585) 263-1600

Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.	
<i>3/18/02</i> Date	<i>Sherri A. Moscato</i> Sherri A. Moscato

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use several sheets if necessary)  (PTO-1449 )	ATTY. DOCKET NO. 176/60921 (2-11150-912)	SERIAL NO. 10/068,449
	APPLICANT Lukas Novotny and Thomas G. Brown	
	FILING DATE February 7, 2002	GROUP ART UNIT To Be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	1	5,004,307	Apr. 2, 1991	Kino et al.			
	2	5,121,256	Jun. 9, 1992	Corle et al.			
	3	5,125,750	Jun. 30, 1992	Corle et al.			
	4	5,497,359	Mar. 5, 1996	Mamin et al.			
	5	5,729,393	Mar. 17, 1998	Lee et al.			
	6	5,793,584	Aug. 11, 1998	Knight et al.			
	7	5,828,482	Oct. 27, 1998	Jain			
	8	5,859,814	Jan. 12, 1999	Kino et al.			
	9	5,881,042	Mar. 9, 1999	Knight			
	10	5,883,872	Mar. 16, 1999	Kino			
	11	5,895,972	Apr. 20, 1999	Paniccia			
	12	5,917,788	Jun. 29, 1999	Mowry			
	13	5,923,086	Jul. 13, 1999	Winer et al.			
	14	5,936,928	Aug. 10, 1999	Jain et al.			
	15	5,939,709	Aug. 17, 1999	Ghislain et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRAN- SLATION IF APPRO- PRIATE

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

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	16	5,946,281	Aug. 31, 1999	Ito et al.			
	17	5,963,532	Oct. 5, 1999	Hajjar			
	18	5,978,139	Nov. 2, 1999	Hatakoshi et al.			
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	31	6,111,840	Aug. 29, 2000	Hajjar			
	32	6,114,689	Sep. 5, 2000	Cho et al.			
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	35	6,130,789	Oct. 10, 2000	Aarts et al.			
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	37	6,154,326	Nov. 28, 2000	Ueyanagi et al.			
	38	6,157,598	Dec. 5, 2000	Mowry			
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		42	Novotny et al., "Near-Field Optical Imaging Using Metal Tips Illuminated by Higher-Order Hermite-Gaussian Beams," <u>Ultramicroscopy</u> 71:21-29 (1998).
		43	Sánchez et al., "Near-Field Fluorescence Microscopy Based on Two-Photon Excitation with Metal Tips," <u>Physical Review Letter</u> 82:4014-4017 (1999).
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